

09-27/01 A

09/27/01  
U.S. PTO

BAKER BOTTS LLC

Please type a plus sign (+) inside this box



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A34645 (071308.0239)

First Inventor Martin Kiesel

Title Device and Process \* see attached

Express Mail Label No. EL866934209US

09/27/01  
PTO  
09/95603**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 7]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
- Claim(s) [ Total Sheets 3 ]
- Abstract of the Disclosure [ Total Sheets 1 ]
- Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]
- Oath or Declaration [ Total Pages   ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of *(when there is an assignee)*  Attorney
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		21003 (Insert Customer No. or Attach bar code label here)		or	<input type="checkbox"/> Correspondence address below	
Name						
Address						
City		State			Zip Code	
Country		Telephone			Fax	
Name (Print/Type)		Bradley B. Geist		Registration No. (Attorney/Agent)		27,551
Signature				Date		9/27/01

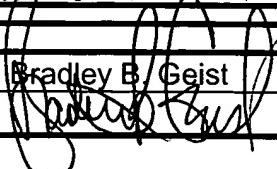
# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT	(\$ 840)
-------------------------	----------

Complete if Known	
Application Number	t/b/a
Filing Date	September 27, 2001
First Named Inventor	Martin Kiesel
Examiner Name	t/b/a
Group Art Unit	t/b/a
Attorney Docket No.	A34645 (071308.0239)

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <b>02-4377</b>  Deposit Account Name <b>Baker Botts LLP</b>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td>130</td></tr> <tr><td>2,520</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1,240</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1,240</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>440</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>600</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>710</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification	130	2,520	2,520	For filing a request for ex parte reexamination		920*	920*	Requesting publication of SIR prior to Examiner action		1,840*	1,840*	Requesting publication of SIR after Examiner action		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		1,510	1,510	Petition to institute a public use proceeding		110	55	Petition to revive - unavoidable		1,240	620	Petition to revive - unintentional		1,240	620	Utility issue fee (or reissue)		440	220	Design issue fee		600	300	Plant issue fee		130	130	Petitions to the Commissioner		50	50	Processing fee under 37 CFR 1.17(q)		180	180	Submission of Information Disclosure Stmt		40	40	Recording each patent assignment per property (times number of properties)		710	355	Filing a submission after final rejection (37 CFR § 1.129(a))		710	355	For each additional invention to be examined (37 CFR § 1.129(b))		710	355	Request for Continued Examination (RCE)		900	900	Request for expedited examination of a design application	
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																				
130	65	Surcharge - late filing fee or oath																																																																																																																					
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																					
130	130	Non-English specification	130																																																																																																																				
2,520	2,520	For filing a request for ex parte reexamination																																																																																																																					
920*	920*	Requesting publication of SIR prior to Examiner action																																																																																																																					
1,840*	1,840*	Requesting publication of SIR after Examiner action																																																																																																																					
110	55	Extension for reply within first month																																																																																																																					
390	195	Extension for reply within second month																																																																																																																					
890	445	Extension for reply within third month																																																																																																																					
1,390	695	Extension for reply within fourth month																																																																																																																					
1,890	945	Extension for reply within fifth month																																																																																																																					
310	155	Notice of Appeal																																																																																																																					
310	155	Filing a brief in support of an appeal																																																																																																																					
270	135	Request for oral hearing																																																																																																																					
1,510	1,510	Petition to institute a public use proceeding																																																																																																																					
110	55	Petition to revive - unavoidable																																																																																																																					
1,240	620	Petition to revive - unintentional																																																																																																																					
1,240	620	Utility issue fee (or reissue)																																																																																																																					
440	220	Design issue fee																																																																																																																					
600	300	Plant issue fee																																																																																																																					
130	130	Petitions to the Commissioner																																																																																																																					
50	50	Processing fee under 37 CFR 1.17(q)																																																																																																																					
180	180	Submission of Information Disclosure Stmt																																																																																																																					
40	40	Recording each patent assignment per property (times number of properties)																																																																																																																					
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																					
710	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																					
710	355	Request for Continued Examination (RCE)																																																																																																																					
900	900	Request for expedited examination of a design application																																																																																																																					
<b>FEE CALCULATION</b> 1. BASIC FILING FEE		Large Entity Small Entity <table border="1"> <thead> <tr> <th>Fee (\$)</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>710</td><td>355</td><td>Utility filing fee</td><td>710</td></tr> <tr><td>320</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>490</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>710</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>150</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table>		Fee (\$)	Fee (\$)	Fee Description	Fee Paid	710	355	Utility filing fee	710	320	160	Design filing fee		490	245	Plant filing fee		710	355	Reissue filing fee		150	75	Provisional filing fee																																																																																													
Fee (\$)	Fee (\$)	Fee Description	Fee Paid																																																																																																																				
710	355	Utility filing fee	710																																																																																																																				
320	160	Design filing fee																																																																																																																					
490	245	Plant filing fee																																																																																																																					
710	355	Reissue filing fee																																																																																																																					
150	75	Provisional filing fee																																																																																																																					
		SUBTOTAL (1) (\$ 710)																																																																																																																					
2. EXTRA CLAIM FEES		<table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>16</td><td>.20** = 0</td><td>x 0</td><td>= 0</td></tr> <tr><td>2</td><td>- 3** = 0</td><td>x 0</td><td>= 0</td></tr> <tr><td colspan="2"></td><td></td><td></td></tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	16	.20** = 0	x 0	= 0	2	- 3** = 0	x 0	= 0																																																																																																								
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																				
16	.20** = 0	x 0	= 0																																																																																																																				
2	- 3** = 0	x 0	= 0																																																																																																																				
		SUBTOTAL (2) (\$ 0)																																																																																																																					
*For number previously paid, if greater; For Reissues, see above **Reduced by Basic Filing Fee Paid																																																																																																																							
Other fee (specify) _____																																																																																																																							
SUBTOTAL (3) (\$ 130)																																																																																																																							

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Bradley B. Geist	Registration No. (Attorney/Agent)	27,551	Telephone (212) 408-2562
Signature			Date	9/27/01

**Addendum Sheet 1****\*Question 4 Addendum**

- 4.a. Drawings are       formal       informal

**\* Question 5 Addendum**

- 5.c.  An unsigned oath or declaration is included.

**\* Question 8 Addendum**

- 8.d.  A sequence submission will follow.

**\* Question 9 Addendum**

- 9.a.  Assignment documents will follow.  
9.b.  Assignment documents have been filed in  
parent application No.

**\* Question 11 Addendum**

- 11.a.  English translation will follow.

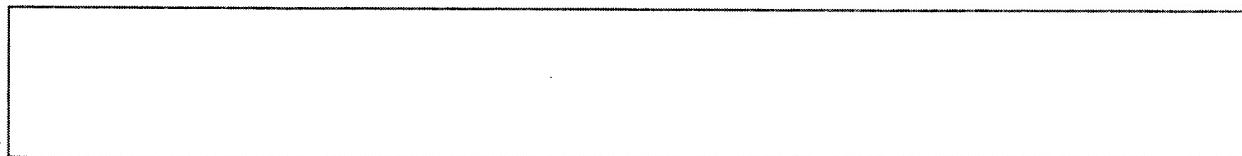
**\* Question 12 Addendum**

- 12.a.  Copies of IDS citations will follow.

**\* Question 15 Addendum**

- 15.a.  Certified copies of priority documents will follow.  
15.b.  Certified copies of priority documents have been filed in parent  
application No.

Use the space below for additional information



✓ Title (continued):

Device and Process for Construction of Operational Components

# BAKER BOTTS LLP

Att Docket #: A34645 (071308.0239)

## Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

Amend the specification by inserting before the first line the sentence(s)

"This application is a  Continuation  Divisional  Continuation-in-part (CIP) of:

"This application is based upon:

prior application No.: , incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

prior application No.: , incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

prior application No.: , incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

prior application No.: , incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

prior application No.: , incorporated by reference herein,

which is a  Continuation  Divisional  Continuation-in-part (CIP) of:

which is based upon:

prior application No.: , incorporated by reference herein

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

Foreign Applications:

Country: Germany Serial No: 101 077 79.3 Filing Date: 2/16/01

Country: Germany Serial No: 101 295 64.2 Filing Date: 6/20/01

Country: \_\_\_\_\_ Serial No: \_\_\_\_\_ Filing Date: \_\_\_\_\_

Provisional Applications:

Serial No: \_\_\_\_\_ Filing Date: \_\_\_\_\_

Serial No: \_\_\_\_\_ Filing Date: \_\_\_\_\_

Serial No: \_\_\_\_\_ Filing Date: \_\_\_\_\_

**BAKER BOTTS LLP**

**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence\* is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on September 27, 2001.

Date



\_\_\_\_\_  
Signature

ZEKE SERRANO

Typed or printed name of person of signing Certificate



Express Mail Label No: EL866934209US

\* Correspondence